

Investor Suitability Questionnaire

1. Employee name: _____

(First)

(Middle Initial)

(Last)

2. Mr. Mrs. Miss Ms.

3. Language of preference: English French

4. Date of birth: _____/_____/_____ (mm/dd/yyyy)

5. Home address:

(Street) (City) (Prov.) (Postal code)

6. a) Home phone: () _____ b) Business phone: () _____

7. Married or living common law: Yes No

8. Number of dependants: _____

9. Investment Objectives: Income Growth

10. Risk tolerance: Low Medium High Speculative

11. Investment Experience: Mutual Funds Stocks Bonds Options Futures

12. Investment knowledge: Sophisticated Good Average Poor/Nil

13. Total annual income from all sources *before taxes*: Greater than \$60,000 Less than \$60,000

14. Estimated net worth (net of liabilities):

Liquid Assets (Including Registered investments): Greater than \$10,000 Less than \$10,000

15. I am comfortable holding this investment for a minimum of five years: Yes No

By signing below I acknowledge that the information provided by me is accurate and complete.

Signature of employee

Date (mm/dd/yyyy)

Once signed, please return to us via email @ jbakker@assante.com or fax to (905) 949-6373.
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